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By Candace K. Murray  
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We are transmitting herewith the attached Patent Application including the following:

[XXX] 28 sheet(s) of specification.

[XXX] 5 sheets of claim(s).

[XXX] 1 sheet(s) of Abstract.

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[XXX] An Assignment of the invention to Unisys Corporation is being filed concurrently with this patent application.

[ ] A certified copy of a \_\_\_\_\_ application, serial no. \_\_\_\_\_, filed \_\_\_\_\_, 19\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. 119.

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FOR:	# FILED	# EXTRA	Rate	Fee	Rate	Fee
BASIC FEE				\$380		\$760
TOTAL CLAIMS	20 -20 =	0	x9=	\$	x18=	\$
INDEPENDENT CLAIMS	4 -3 =	1	x39=	\$	x78=	\$ 78
( ) MULTIPLE DEPENDENT CLAIM PRESENTED			+130=	\$	+260=	\$
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## **VIDEO ON DEMAND TRANSACTION SERVER**

### **CROSS REFERENCE TO CO-PENDING APPLICATIONS**

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This application is related to commonly assigned and co-pending U.S. Patent Application Serial No. 33012/262101, filed \_\_\_\_\_, and entitled "Video on Demand System"; U.S. Patent Application Serial No. 33012/264/101, filed \_\_\_\_\_, and entitled "Video Server"; U.S. Patent Application Serial No. 33012/265/101, filed \_\_\_\_\_, and entitled "Video on Demand Transaction Gateway"; and U.S. Patent Application Serial No. 33012/266/101, filed \_\_\_\_\_, and entitled "A Web Based Video on Demand Administration Application", all of which are incorporated by reference.

### **BACKGROUND OF THE INVENTION**

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**1. Field of the Invention:** The present invention generally relates to digital data transmission of video information and more particularly to the delivery of user selected video information to subscribing users.

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**2. Description of the Prior Art:** The mass distribution of video programming signals (i.e., television) was originally accomplished primarily by the broadcasting of a very high frequency

1 (i.e., VHF) carrier containing an amplitude modulated video signal and a frequency modulated  
audio signal. Through the addition of more broadcasting transmitters, a modest number of  
different programming signals could be simultaneously distributed to a large number of potential  
users with a modest capital commitment. Using this technique, the capital commitment increases  
almost linearly with number of different programming channels within the limits of the available  
6 spectrum space for separate and independent carriers.

Within a couple of decades, most of the scarce VHF spectrum space had been committed,  
and increasing demand for additional programming channels resulted in the allocation of spectrum  
space in the ultra-high frequency (i.e., UHF) region. Whereas virtually all receivers became UHF  
compatible, as a matter of policy, and UHF channels were assigned to requesters, it was  
11 appreciated that there were no economies of scale through the addition of more broadcast  
programming channels.

The cable television channel era was the result, wherein a capital commitment was  
required to wire each user home within a service area. As a result, about one hundred separate  
programming channels became readily available at a lesser cost than providing the same number of  
16 channels using conventional broadcast means. Initially, cable television was simply an analog  
system in which low power modulated carriers were transferred over a coaxial cable rather than  
being broadcast into the ether at substantially higher power levels. The cost saving was realized  
because the broadcasting was accomplished at substantially lower power.

With approximately one hundred different programming channels, it is typical to charge  
21 cable service user fees in accordance with a hierarchy of programming channels. The least  
expensive channels tend to be the preexisting broadcast channels and those cable channels

1 supported primarily by advertisers which are intended for the most general audiences. The  
subscriber fees to access other channels increase as the programming becomes more specialized,  
advertising revenue becomes less likely to pay the programming costs, and the programming  
materials tend to have substantial economic value through other distribution channels. The so-  
called “premium channels” which show current and/or near current movies without advertising are  
6 typical of the higher cost programming options.

Most commercial cable television providers package the various programming channels  
into programming channel groups with different prices such that a given user can select a suitable  
programming package and pay the equivalent fee. Typically, a cable provider box, which couples  
the user television receiver to the coaxial cable source, is controlled by the cable television  
11 provider to give access to a given user to only those channels for which the appropriate subscriber  
fee has been paid.

The most expensive cable television channels currently available are “pay-per-view” or  
PPV. With the PPV concept, a given user can subscribe to a given programming channel for a  
single individual program of up to several hours for a separate subscriber fee. Typically, PPV  
16 channels provide sporting events and almost current movies.

Perhaps the major disadvantage of the PPV concept as currently implemented, is that the  
programming is provided in the “broadcast” mode. That means that the programming begins and  
runs on a predefined schedule. As a result, programming is missed if the user receives a telephone  
call, for example, during the viewing. Furthermore, it ordinarily requires the user to allocate  
21 viewing time to coincide with the predefined schedule. To overcome this disadvantage, many  
users rent video programs as video cassette recordings (i.e., VCR) from commercial stores which

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1 provide such a rental service. This permits the viewer to watch the program in accordance with  
her/his own schedule, stop the program during interruptions, and replay portions of the program  
which may not be readily understood. The primary disadvantage of the VCR rental approach is  
the need to physically go to the rental store to obtain the program and return to the rental store to  
return the recording.

6 With the capital commitment for cable television in place, their appear to be substantial  
new uses for the basic coaxial pathway. Such uses include, telephone, computer modem,  
facsimile, and video conferencing. To properly coordinate such diverse information transmission  
activities, attention is being directed to digital transmission schemes which provide for easier  
management of the distribution resources. U.S. Patent No. 5,570,355, issued to Dail et al.,  
discusses the handling of a number of diverse information transmissions within a single system.  
U.S. Patent No. 5,673,265, issued to Gupta et al., U.S. Patent No. 5,754,773, issued to Ozden et  
al., and U.S. Patent No. 5,799,017, issued to Gupta et al., all discuss multi-media distribution  
systems. U.S. Patent No. 5,555,244, issued to Gupta et al., is directed to multimedia distribution  
to residential users.

16 The digitization of video results in a great deal of data which must be transferred at a high  
rate to yield acceptable performance and resolution. By current standards, 3mbits/sec. is  
considered to be a very acceptable rate. Such high data rates require systems which can provide  
high data rate transmission. U.S. Patent No. 5,724,543, issued to Ozden et al., U.S. Patent No.  
5,699,362, issued to Makam, and U.S. Patent Nol. 5,826,110, issued to Ozden et al., all concern  
21 themselves with high data rate retrieval and transmission. U.S. Patent No. 5,675,573, issued to  
Karol et al., discusses the management of high data rate bandwidths.

In addition to retrieval and transmission of the required high data rates, there is also the need to provide high speed switching for switching as between data sources and destinations. U.S. Patent No. 5,751,704, issued to Kostic et al., and U.S. Patent No. 5,740,176, issued to Gupta et al., discuss high speed digital switching systems.

Whether it is data storage and retrieval, data transmission, or data switching, the fundamental technological problem associated with digital video results from the sheer volume of digitized video data and the tremendous rate at which it must be provided to the ultimate user for satisfactory performance. One technique for reduction of the volume problem is in reducing the resolution (and hence the volume of data) for those applications for which such reduction is acceptable. U.S. Patent No. 5,623,308 and U.S. Patent No. 5,691,768, both issued to Civanlar et al., directly address the handling of multiple resolution digitized video signals within a single system.

Notwithstanding attempts to reduce the resolutions to the lowest acceptable levels, the total data volume of any commercially useful system will remain high. The most common way to treat extremely high data volumes is through data compression. U.S. Patent No. 5,710,829, issued to Chen et al., U.S. Patent No. 5,742,343, issued to Haskell et al., and U.S. Patent No. 5,619,256, issued to Haskell et al., are concerned with digital compression techniques. Specific attention to compression of digitized video is found in U.S. Patent No. 5,764,803, issued to Jacquin et al. Compression of 3-dimensional images is treated by U.S. Patent No. 5,612,735, issued to Haskell et al.

The evolving techniques of digitized video transmission have resulted in a transmission standard, called Asynchronous Transfer Mode (ATM). U.S. Patent No. 5,668,841, issued to

1 Haskell et al., describes data transmission using the ATM standard. An ATM converter is  
discussed in U.S. Patent No. 5,809,022, issued to Byers et al. U.S. Patent No. 5,724,349, issued  
to Cloonan et al., suggests an approach to packet switching within an ATM system. An ATM  
architecture is discussed in U.S. Patent No. 5,781,320, issued to Byers. Interfacing to ATM  
systems is addressed in U.S. Patent No. 5,842,111, issued to Byers.

6 A solution to the PPV problems noted above utilizing digitized video has been termed,  
Video on Demand (or VOD). In a VOD system, digitized video programming is made available  
to individual cable television subscribers in response to specific requests made by the user. U.S.  
Patent No. 5,867,155, issued to Williams, describes the use of VOD for a very specialized  
application. Sea Change, International, has proposed a VOD approach for cable television  
11 subscribers. U.S. Patent No. 5,583,561, issued to Baker et al., assigned to the assignee of the  
present invention and incorporated herein by reference, discloses and teaches a complete, modern  
VOD system employing a centralized architecture utilizing an enterprise server developed by  
Unisys Corporation.



## SUMMARY OF THE INVENTION

The present invention overcomes many of the disadvantages found within the prior art by providing a video on demand system which separates the tasks of supplying video to subscribers from the tasks associated with managing the subscriber interface. The key to this approach is to provide an architecture in which the necessary functions are divided into two separate portions. A first subsystem, called a video server, is specifically dedicated to retrieving and transmitting the stream of video information. Virtually no other functions are performed by the video server. A second subsystem, called the transaction server, handles virtually all other functions including control interface with the subscribers, spooling of digitized video data, subscriber accounting, e-mail, facsimile, web access, etc..

The video server has two primary design criteria. First, it must be highly optimized to handle the extremely high input/output data rates. In essence, this is the sole function of the video server, and therefore, the design of the video server hardware and software are most directed towards this characteristic. Because the role of the video subsystem is simplified and single dimensional, video subsystems may employ relatively simple, personal computers or may be implemented within a highly modularized, partitionable large mainframe computer having substantial real time input/output capabilities.

The second major design criterion of the video server subsystem involves modularity. The addition of active subscribers, each viewing individual video programs (or the same program at different times), tends to increase the total input/output load of the video server subsystem



are accomplished by the transaction server. Typical tasks include: transactional interface with the subscribers, subscriber fee accounting, requested program spooling, video server subsystem control, receiving video from a satellite and storing it in an archive, links to other applications such as e-mail, facsimile, the world wide web, etc. Thus, the ideal hardware/software platform for implementation of the transaction server is a readily expandable, highly flexible, high availability, highly recoverable, realtime oriented mainframe system. In the preferred mode of the present invention, the Unisys 2200 is used to host the transaction server.

In accordance with the present invention, a subscribing user transfers a programming request to the transaction server. The transaction server makes the required subscriber accounting entry and notifies the corresponding preloaded video server platform of the new subscriber request. If the asset is not preloaded, in addition to the subscriber accounting, the transaction server must access the request video program and spool it for the video server. Depending upon the rate of use of the requested video program, the data might be stored in memory (for high volume use), on a disk or other mass storage device (for medium volume use), or in some other medium (for low volume use).

In one preferred embodiment of the present invention, the user is permitted to pause, reverse, or fast forward the requested video program through commands entered from the on-set subscriber box. These functions are intended to appear similar to normal VCR commands to the user. These commands are sent to the transaction server which utilizes them to control the corresponding video stream output of the video server subsystem. Thus the user is provided with all of the advantages of VCR rental without the need to physically transport the medium (i.e., cassette tape) back and forth between the rental store and the user site. Additionally, there are no

1 fees for late tape return.

In view of the power and flexibility of the transaction server, other diverse but somewhat related functions may be provided. For example, a user might order a pizza delivery via the on-set subscriber box to transaction server interface, or the user might access the internet, e-mail, or faxes via the transaction server. If this interface is implemented over a readily available, publically accessible, network, such as the internet, many additional functions are possible.

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## BRIEF DESCRIPTION OF THE DRAWINGS

Other objects of the present invention and many of the attendant advantages of the present invention will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings, in which like reference numerals designate like parts throughout the figures thereof and wherein:

**Fig. 1** is a schematic diagram showing the operation of the overall video on demand system of the present invention;

**Fig. 2** is a schematic diagram showing spooling of the video programming data from typical mass storage devices;

**Fig. 3** is a schematic diagram showing the generation of a video stream from spooled data within a memory subsystem;

**Fig. 4** is a schematic diagram showing video streaming as synchronized on one minute boundaries;

**Fig. 5** is a schematic diagram showing operation of a video server platform;

**Fig. 6** is a schematic diagram showing video streaming of multiple programs from a single video server platform;

**Fig. 7** is a schematic diagram showing video streaming from video programming data spooled on disk drive mass storage units;

**Fig. 8** is a schematic diagram showing video streaming from video programming data stored on both disk drive mass storage units and memory subsystems;

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**Fig. 9** is a block diagram of a maximum configuration video server;

**Fig. 10** is a detailed diagram showing the functional operation of the transaction gateway;

**Fig. 11** is a detailed diagram of the operation of the transaction server of the present invention; and

**Fig. 12** is a detailed diagram showing the operation of a preferred, highly redundant

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transaction server subsystem implemented within a large scale Unisys mainframe.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

**Fig. 1** is a schematic diagram 10 showing the overall video on demand system of the present invention. A subscribing user (not shown) is positioned adjacent standard television receiver 34. Through this television receiver, the user is capable of viewing video programming material transferred to his location via coaxial cable 30 from network 26 in the fashion currently known in the cable television industry. The interface between coaxial cable 30 and standard television receiver 34 is provided by subscriber box 32, which provides the conversion between MPEG II digitized video format and the analog video signal required by television receiver 34.

In many respects, subscriber box 32 is similar to the subscriber boxes utilized with existing cable television systems with the slight functional differences described in more detail below. The basic reason for these slight differences is to permit a subscribing user to communicate with transaction server 12 in a two directional manner. Not only does subscriber box 32 receive video programming data via coaxial cable 30 and present it to television receiver 34, but subscriber box 32 is capable of transferring user requests via coaxial cable 30 and network 26 to transaction server 12 via path 28. The most important requests in accordance with the present invention are those which initiate and control the individualized video on demand programming.

When the user is interested in viewing a particular video program, a request is made from subscriber box 32 and transferred to transaction server 12 via coaxial cable 30, network 26, and path 28. Transaction server 12, a Unisys 2200 system in the preferred embodiment, is provided access to video programming information from satellite receiver 14, from tape video storage 16

1 and disk mass storage 18. In each instance, the video programming data is stored in digital form.  
According to the preferred embodiment of the present invention, the MPEG-2 standardized  
format is utilized.

Whenever a request is received, transaction server 12 checks various security parameters,  
makes appropriate subscriber billing entries, and generally performs all of the necessary  
6 administrative functions as described below in greater detail. Additionally, transaction server 12  
spools digital video data for transmission by the video server assigned to the requesting  
subscriber. One of video server platforms 20, 22, ..., or 24 is assigned the task by transaction  
server 12 and the spooled digital video data is supplied via the digital data bus shown. In the  
preferred mode of the present invention, each video server platform is a separate industry  
11 compatible, Windows NT based, computer platform. Once downloaded to the selected video  
server, the requested video programming is transmitted via network 26 and coaxial cable 30 to  
subscriber box 32 and television receiver 34. Other distribution methods are available in certain  
areas including high pass band telephone, etc.



**Fig. 2** is a schematic diagram showing the spooling of data from digital disk mass storage devices. For the preferred mode of the present invention, the digitized video programming data is stored in MPEG-2 format. In the spooling process, the MPEG-2 organized and placed into memory as a programming file 55A. Even though the present invention is independent of bit rates, to produce current commercially acceptable video, 3mbits/second is required. That means that a two hour video program requires the about 2.7 billion bytes of data storage. Because of cost considerations, many of the programs having low and moderate usage will best be stored on mass storage disk until requested

Individual storage disks 48, 50, ..., and 52 each store a number of video programs in MPEG-2 format. As requested, this data is transferred via storage bus 46 through disk control 42 through I/O bus 38 and placed in memory 55 via path 40. A software program spools the data to the ATM interface 54 at the required speed.. This is accomplished by reading the data from disk to memory in blocks (typically not the entire program). The video is then sent to the user in a continuous stream.

**Fig. 3** is a schematic diagram showing spooling of high volume digitized video program.

For those programs having a high user demand, it is much more efficient to spool the program files from random access memory rather than mass storage disk systems. In this context, high volume means a high probability that the given program will be in use during high service volume periods. That means that there will need to be random access storage allocated to the storage of that given program during peak memory demand. As a result, the system should simply allocate random access storage to that given program. Very popular, recent movies are typical of such high volume programs.

If a program is a high volume program, it is preferably stored in auxiliary memory 56, which is simply a portion of memory 36. Upon request, software residing in memory 36 directs the spooling of data from auxiliary memory 56 and transferring it via path 40 and I/O bus 38 to ATM interface 54. It should be noted that this is significantly more efficient than the spooling operation shown in Fig. 2, since the video data only needs to be read out of memory instead of having to be loaded from disk each time the data is used. Furthermore, there is no additional cost if a program is of sufficiently high volume that the required random access memory must be allocated to the program anyway.

**Fig. 4** is a schematic diagram 58 showing the synchronization of a given video program around one minute time slots. In concept, the present invention provides subscribers with video on demand. However, as a practical matter, by synchronizing multiple users around one minute time slots, the maximum number of reads from memory for all users of the given video program cannot exceed 60 per hour of programming and 120 for a two hour standard video program. That means that for a given high volume program (which may be requested by hundreds or even thousands within the length of time to view the program) each requester is assigned to an appropriate time slot. The one minute time interval is chosen arbitrarily as a compromise between system loading and subscriber wait. Other intervals may be more appropriate for given applications.

First time slot 60 provides the first minute of video programming to one or more requesters. During one minute time slot 62, the initial requesters receive the second minute of programming, and one or more requesters may be starting with the first minute of programming. At the  $n$ th time slot 64, the initial requesters are viewing the  $n$ th minute of programming, the second group of requesters is viewing the  $n-1$  minute of programming, and the  $n$ th group of requesters is viewing the first minute of programming. At final time slot 66, the initial requesters are viewing the final minute of programming, the second group of requesters is viewing the second to last minute of programming, and a new group of requesters is viewing the initial minute of programming.

The reduction in total data requirements utilizing these one minute time slots is substantial. The total delay to a requester is no more than one minute and will average one half minute,

1 making the process perfectly acceptable and barely noticeable to the subscribers.

**Fig. 5** is a schematic diagram of a single industry compatible, Windows NT based video server platform. The video server subsystem is composed of a number of separate and largely independent video server platforms. Each is configured to have a maximum memory configuration and maximum I/O configuration. Digitized video programming data in the MPEG-2 format are spooled from transaction server 68 via interconnect 70 into the assigned video server platform. Video 74 and video 72 are shown. These videos are place onto network 78 under control of transmission control software 80 for transfer to the requesting subscriber(s). For a given video being sent to a single user, transmission control software 80 simply retrieves the video data from memory in a sequential fashion at 3 mbits/second and places it on network 78.

**Fig. 6** is a schematic diagram showing spooling of high volume program 82 into the video server platform of Fig. 5. The spooling is performed by the transaction server as discussed above. The spooled data is transferred to the video server platform via interconnect 70. Up to ten programs can be stored and streamed from a single video server. For simplicity, only one video server is shown. For a view of multiple video servers within a system, refer to Fig. 1.. All other referenced elements are as previously described.

**Fig. 7** is a schematic diagram showing the spooling of low to moderate volume digitized video program data. For lower volume programs, storage on disk storage mass memory may be appropriate. A low volume video program is one in which it is highly unlikely that more than a small number of requests are received during the runtime of the video program. Therefore, the servicing of the request is most probably an index sequential task for retrieving the data and transmitting it to the user. This is readily distinguishable from the high volume video programs for which transmissions within multiple and perhaps many of the one minute time slots is expected (see also Fig. 4). These programs are spooled to the video server platform as shown. The remaining referenced elements are as previously described.

**Fig. 8** is a schematic diagram of a video server showing spooling of low and high volume video programs to the same video server platform. All referenced elements are as previously described.



**Fig. 9** is a block diagram 84 of the maximum configuration of the video server of the preferred mode of the present invention. In this preferred mode, the video server is implemented using a current model Unisys mainframe system. In accordance with this product, the system is expandable from a single processor, single main memory, and single I/O controller to the maximum system shown.

The instruction processors communicate with third level caches 102, 104, 106, 108, 110, 112, 114, and 116 which in turn communicate with main memories 86, 88, 90, and 92 via crossbar interconnects 94, 96, 98, and 100. Each instruction processor may be coupled with a third-level caches, as shown. Direct Input/Output bridges 118, 120, 122, 124, 126, 128, 130, and 132 each handle video input/output functions. Each of the direct Input/Output bridges may be partitioned into separate partitions as shown. Additional description of partitioning may be found in U.S. Patent Application Serial No. 08/779,472, filed January 7, 1997, commonly assigned to the present invention and incorporated herein by reference.

**Fig. 10** is a detailed diagram 200 showing operation of the transaction server. Set-top 216 represents the subscriber box located at one of the subscriber locations. A video on demand request is made through the cable network and ATM backbone 232 to ATM channel adapter 212. The request arrives at transaction gateway 202. The initial account verification and subscriber fee management is processed utilizing resource/subscriber database 204. If the request is to be honored (i.e., subscriber account is in proper order and requested video program is available), the requested digitized video program is accessed for spooling.

Active video programming is stored within asset data base 206 for rapid access. Low volume video programming is likely to be found on asset archive storage 208. When accessed, the digitized video programming data is streamed in MPEG-2 format through ATM channel adapter 212 and video servers 214 to QAM modulators 210 for transfer to the subscriber via set-top 216.

Because the video programming data is stored and transferred in MPEG-2 digital format, requests for programming, display of programming data, and other transactions may be conducted with other personal computer platforms, such as work stations 220, 222, and 226. By way of example and not to be deemed as limiting of the present invention, work stations 226 interface to the video on demand system via wireless link 230 and router 234, which may be via radio frequencies, satellite, or other convenient interface. Similarly, work stations 220 and 222 are coupled via local area network 218 and router 224 to ATM backbone 232.

Those of skill in the art will be readily able to appreciate that the video on demand system of Fig. 10 may supply entertainment programming to subscriber boxes, such as set-top 216, and

1 also supply business and educational programming to work stations, as shown.

**Fig. 11** is a detailed functional diagram 134 of the transaction server. Communication with subscriber box 32 (see also Fig. 1) is managed by set top management module 142. Initial requests are selected by the user and honored through utilization of menu transaction module 140. After initiation of the servicing of a given request, control of the matter is given to session manager 138 for completion. Any and all communication with the transaction server are monitored by security module 148. Administration module 136 provides overall control of the transaction server.

The transaction server may be utilized to interface with the internet. The selected hardware and software system selected for the preferred mode provide internet server facilities in a commercially usable form. Video server session management module 146 provides the detailed functions (e.g., spooling of digital video programming) associated with the primary video on demand service. These control functions are directly interfaced to the video server subsystem via video server interface 150.

Event logging module 154 journals the functions performed. This log is made available to digital network control services 152. Media directory services and asset management module 162 provides long term control and asset management. Historical storage of these data is performed by asset storage management and asset capture.

In performing the actual video on demand service, the appropriate requested digitized video program is accessed from databases 160. It is spooled by asset delivery, video streaming module 158. The transfer is made via video server interface 156 (see also Fig. 1).

**Fig. 12** is a detailed diagram of a single, high reliability, highly redundant mainframe system, such as Unisys mainframe computer, for providing both transaction server and video server functions. In this configuration, two identical partitions 236 and 238 provide the desired redundancy, essentially yielding 100 per cent availability. Each partition communicates separately with resource/subscriber database 204, asset database 206, asset archive storage 208 and the network (not shown for simplicity). In that manner, each of the two partitions may perform all of the video on demand functions independently. XPC file accelerator 240 provides the needed communication between partitions when both are in operation. When one of the partitions is disabled by manual action or component failure, the other partition continues to operate.

Partition 236 (and identical partition 238) contains a number of component elements. Transaction gateway 250 is the software module which provides the direct functional interface between the subscriber and the video on demand system. Transaction gateway 250 operates within the environment of standard Unisys middleware 252. Relational database 242 provides efficient access to subscriber and asset data base entries. Message retention services 254 enables recovery of message data.

Transaction gateway 250 directs video server frame and stream spooling module 244 to spool and stream the requested video program. Communication and frame timing are provided by FTP 260 and ATP 248. CPCOMM 246 performs the standard communication management functions.

Having thus describe the preferred embodiments in detail, those of skill in the art will be readily able to use the teachings found herein to make and use yet other embodiments within the scope of the claims appended hereto.

**What is claimed is:**

continued on next page

## CLAIMS

1. In a video on demand system for supplying video data to a subscriber receiver, the improvement comprising:

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a. A data base storage system containing a video on demand program; and

b. A transaction server responsively coupled to said data base storage system and said subscriber receiver whereby said subscriber receiver requests a video on demand program from said transaction server and said transaction server spools said video on demand program from said data base storage for transfer to said subscriber receiver.

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2. The video on demand system of claim 1 wherein said transaction server further comprises a transaction gateway operating in a middleware environment.

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3. The video on demand system of claim 2 further comprising a mainframe computer platform hosting said transaction server and further including a video server responsively coupled to said transaction server and said subscriber receiver.

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4. The video on demand system of claim 3 wherein said mainframe computer platform further comprises a Unisys mainframe computer system.

5. The video on demand system of claim 4 wherein said transaction server spools said video on

1 demand program in the MPEG-2 format.

6. An apparatus comprising:

a. A subscribing cable television receiver capable of providing a service request;

b. A data base storage system which stores a video program; and

6 c. A transaction server responsively coupled to said subscribing cable television receiver and said data base storage system capable of receiving said service request, accessing said video program corresponding to said service request from said data base storage system, and spooling said video program in response thereto.

11 7. An apparatus according to claim 6 wherein said transaction server further comprises a subscriber account whereby said subscribing cable television receiver is charged for said service request.

16 8. An apparatus according to claim 7 wherein said transaction server further comprises a transaction gateway operating in a commercial middleware environment.

9. An apparatus according to claim 7 wherein said spooled video program further comprises MPEG-2.

21 10. An apparatus according to claim 9 wherein said transaction server further comprises a Unisys computer system.



- 1 11. A video on demand system comprising:
- a. Means for storing a plurality of video programs;
  - b. Means for generating a requested video on demand signal;
  - c. Means responsively coupled to said generating means and said storing means for identifying one of said plurality of video programs stored within said storing means corresponding
- 6 to said requested video on demand signal;
- d. Means responsively coupled to said identifying means and said storing means for spooling said corresponding one of said video programs if said identifying means identifies ; and
  - e. Means responsively coupled to said spooling means and said receiving means for streaming said spooled requested video on demand signal to said receiving means.
- 11 12. A video on demand system according to claim 11 wherein said receiving means further comprises a subscriber box.
- 16 13. A video on demand system according to claim 12 wherein said receiving means further comprises a transaction gateway.
14. A video on demand system according to claim 13 wherein said receiving means further comprises means for processing subscriber transactions.
- 21 15. A video on demand system according to claim 14 wherein said receiving means further comprises a Unisys mainframe computer system.

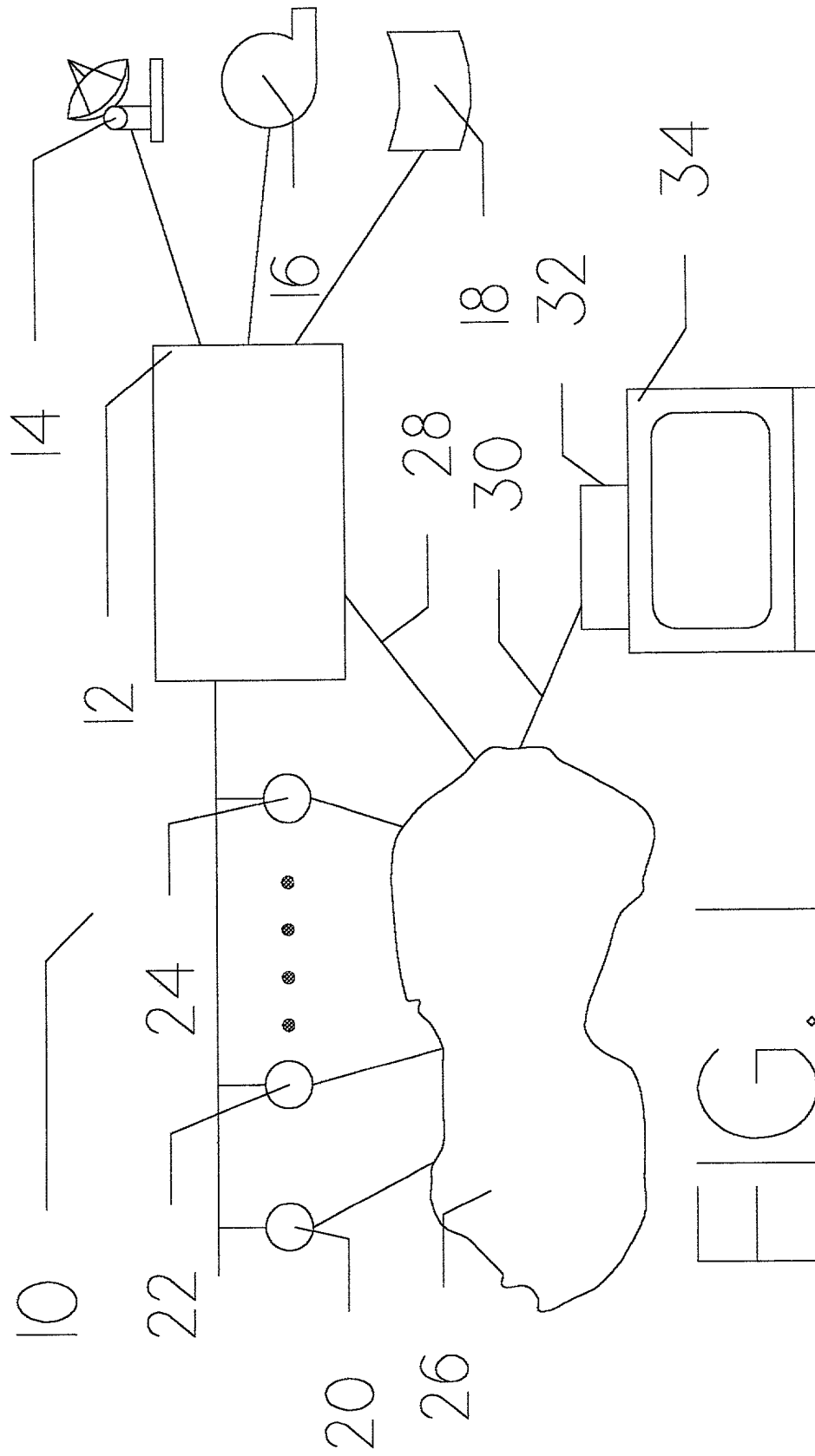
- 1 16. A method of providing video on demand services comprising:
- a. Storing a plurality of video programs;
  - b. Receiving a video on demand request from a subscriber at a transaction server;
  - c. Determining a one of said plurality of video programs corresponding to said video on demand request;
  - 6 d. Spooling said one of said plurality of video programs corresponding to said video on demand request;
  - e. Transferring said spooled video program from said transaction server to a video server;
  - and
  - f. Streaming said spooled video program from said video server to said subscriber.
17. A method according to claim 16 further comprising:
- a. Pausing said streaming in response to a pause signal from said subscriber.
18. A method according to claim 16 further comprising:
- 16 a. Reversing said streaming in response to a reverse signal from said subscriber.
19. A method according to claim 16 further comprising:
- a. Fast forwarding said streaming in response to a fast forward from said subscriber.
- 21 20. A method according to claim 16 wherein said processing step further comprises:
- a. Performing subscriber accounting to enable billing said subscriber for said video on

1 demand request.

## ABSTRACT OF THE DISCLOSURE

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A method of and apparatus for efficiently providing video on demand services to a cable television subscriber. The provider system consists of two major subsystems. The first subsystem, called a video server, streams video to video on demand subscribers through the cable television network. The second subsystem, called the transaction server, performs virtually all remaining provider functions including, security, accounting, storage and spooling of video data, etc. The transaction server preferably uses a large scale mainframe computer. The video server subsystem may be a partitioned portion of same large scale mainframe computer.



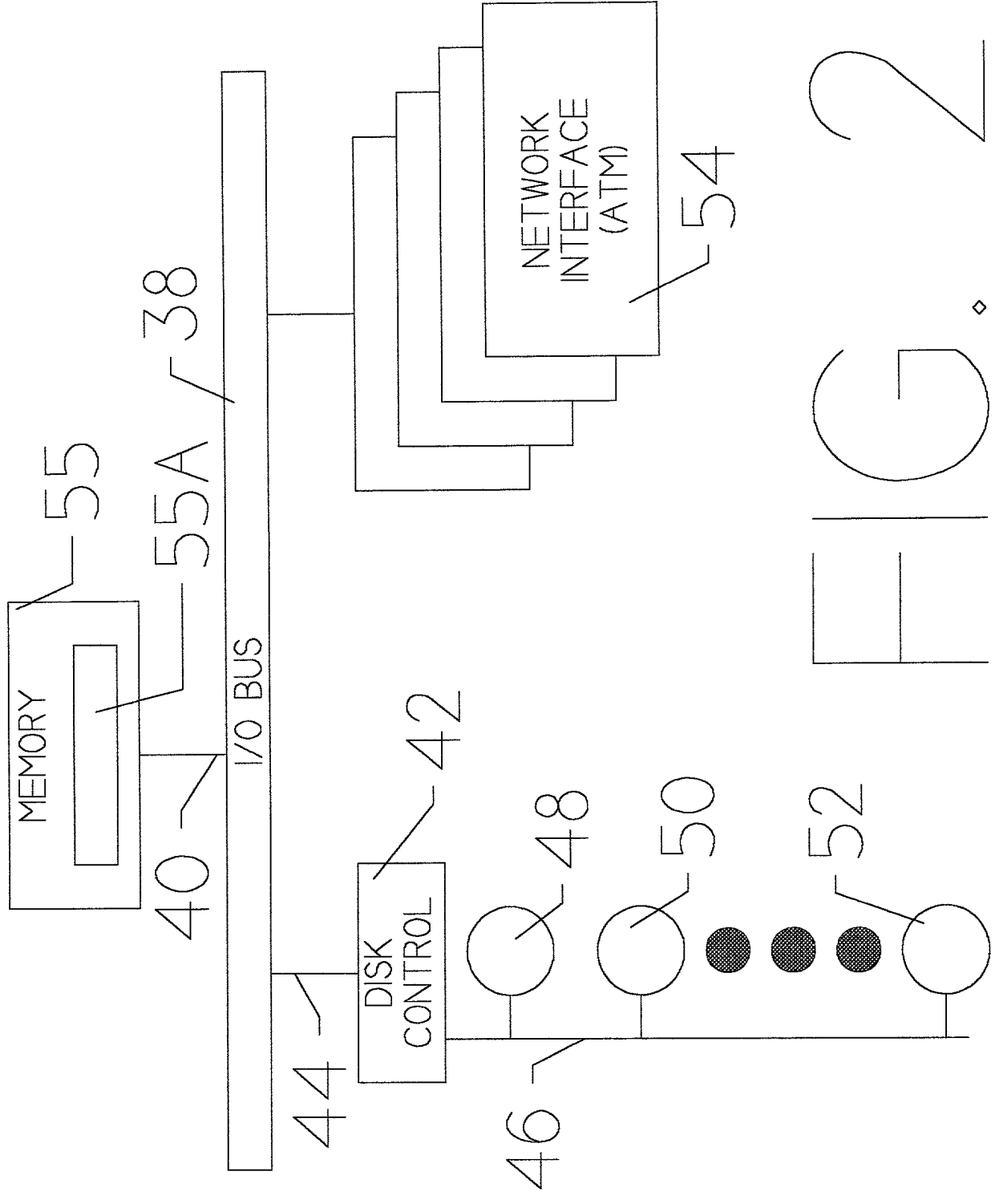


FIG. 2

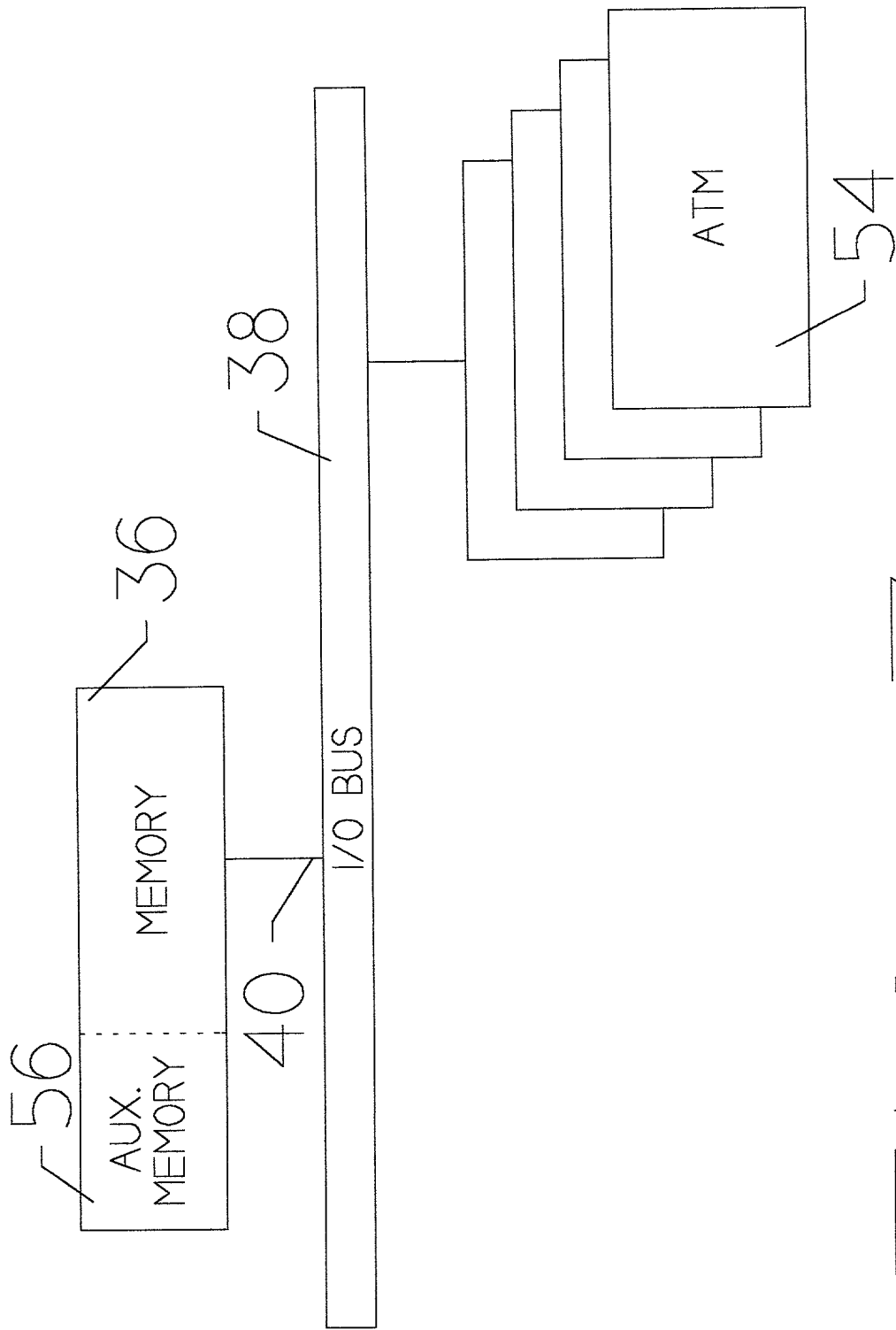


FIG. 3

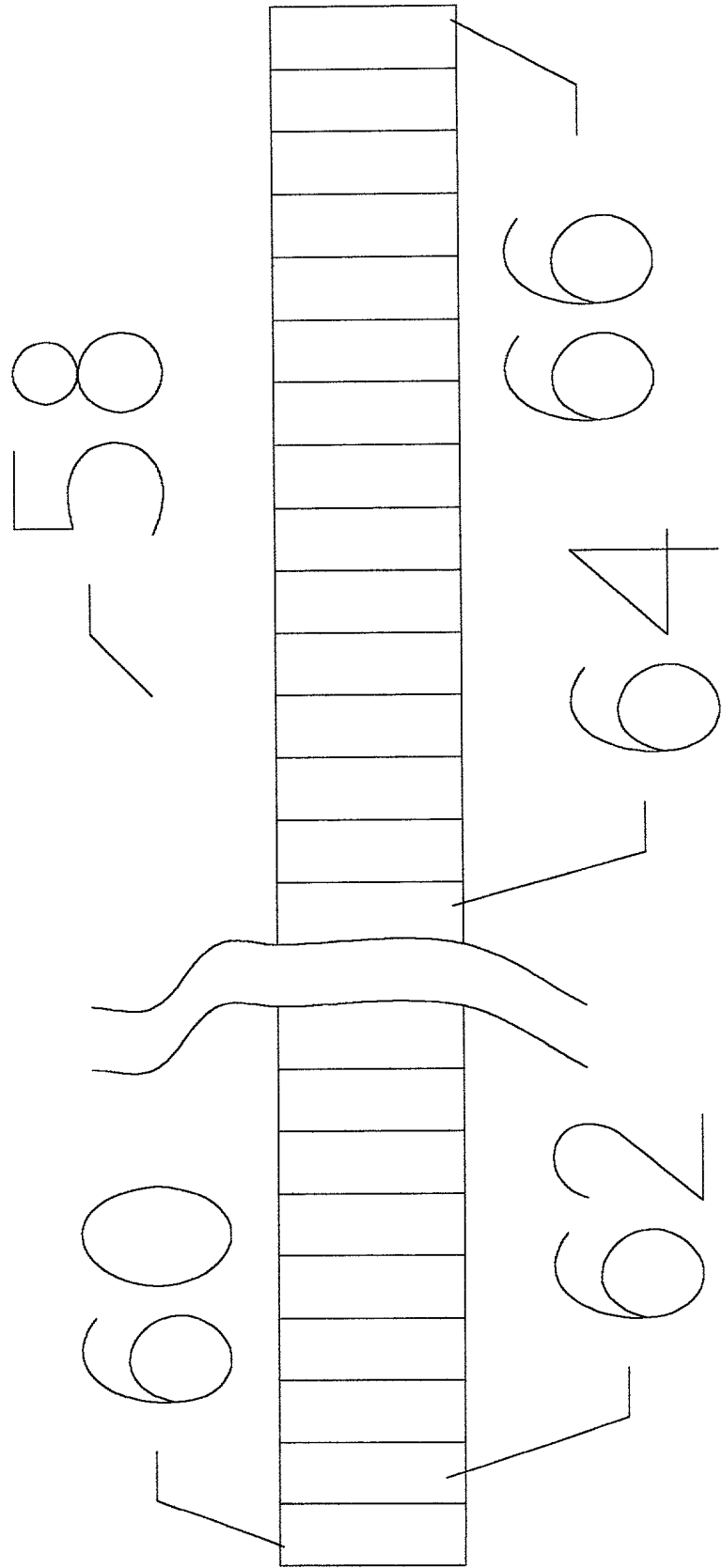


FIG. 4



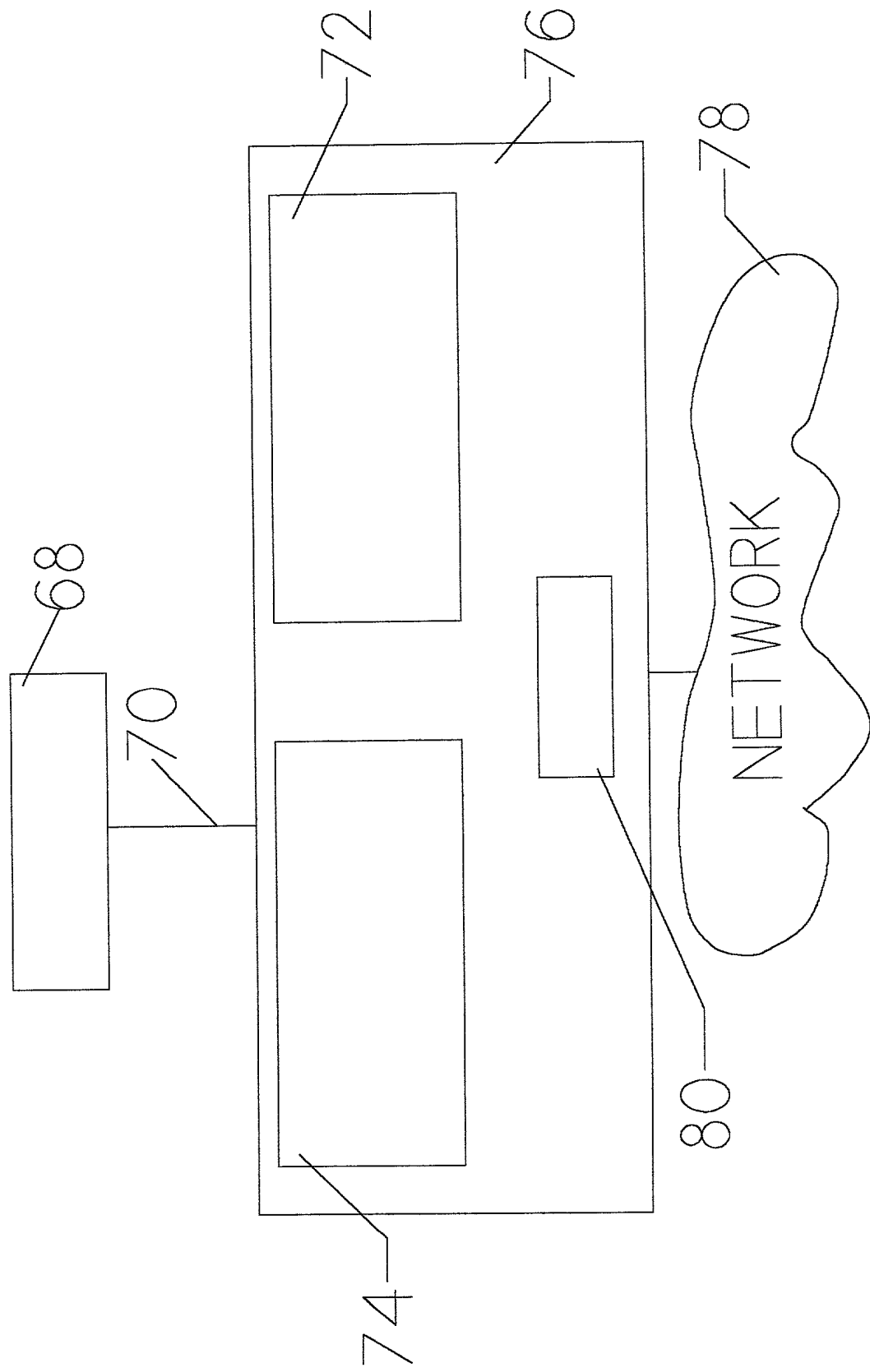


FIG. 5

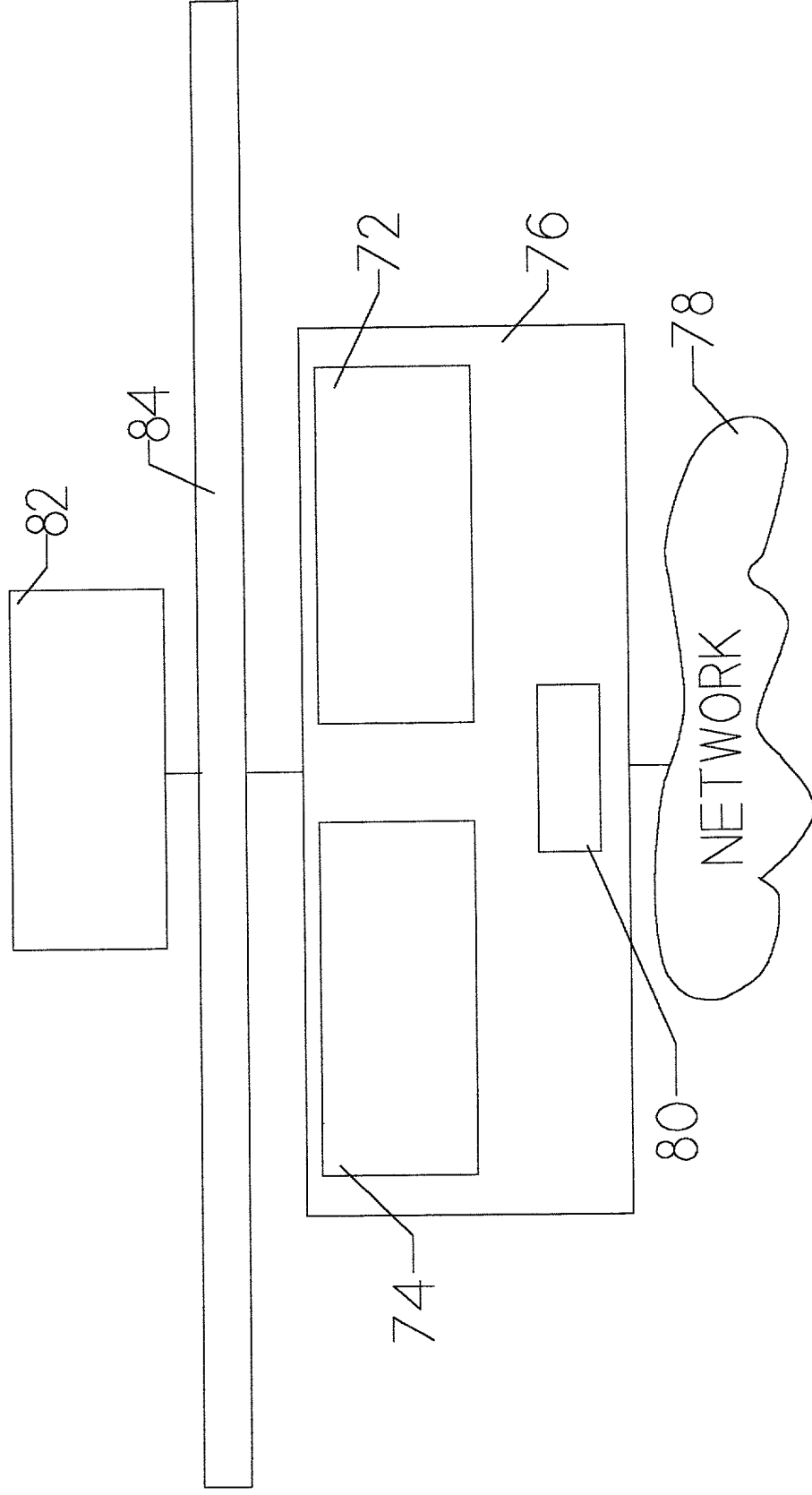


FIG. 6

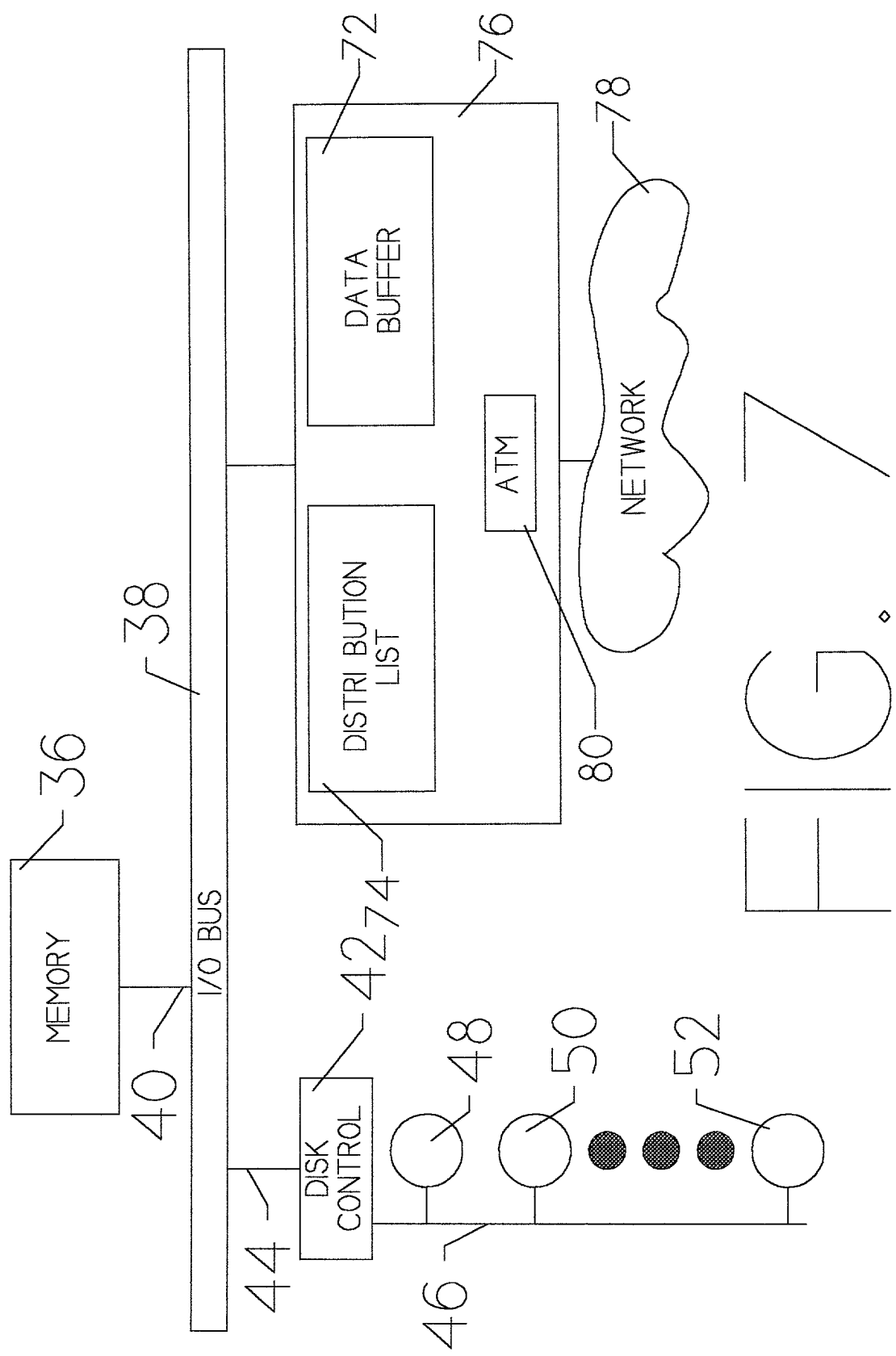


FIG. 7

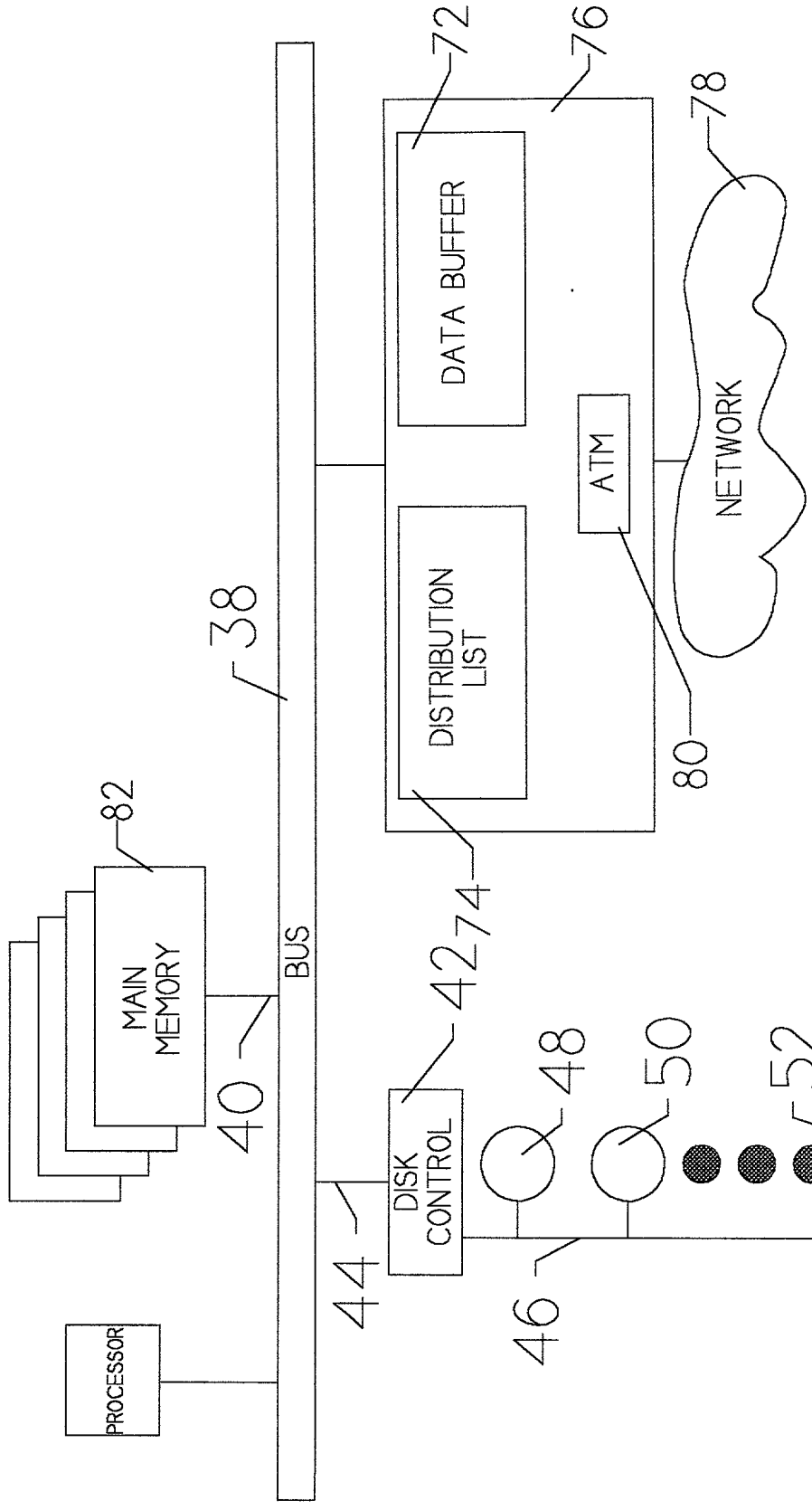


FIG. 8

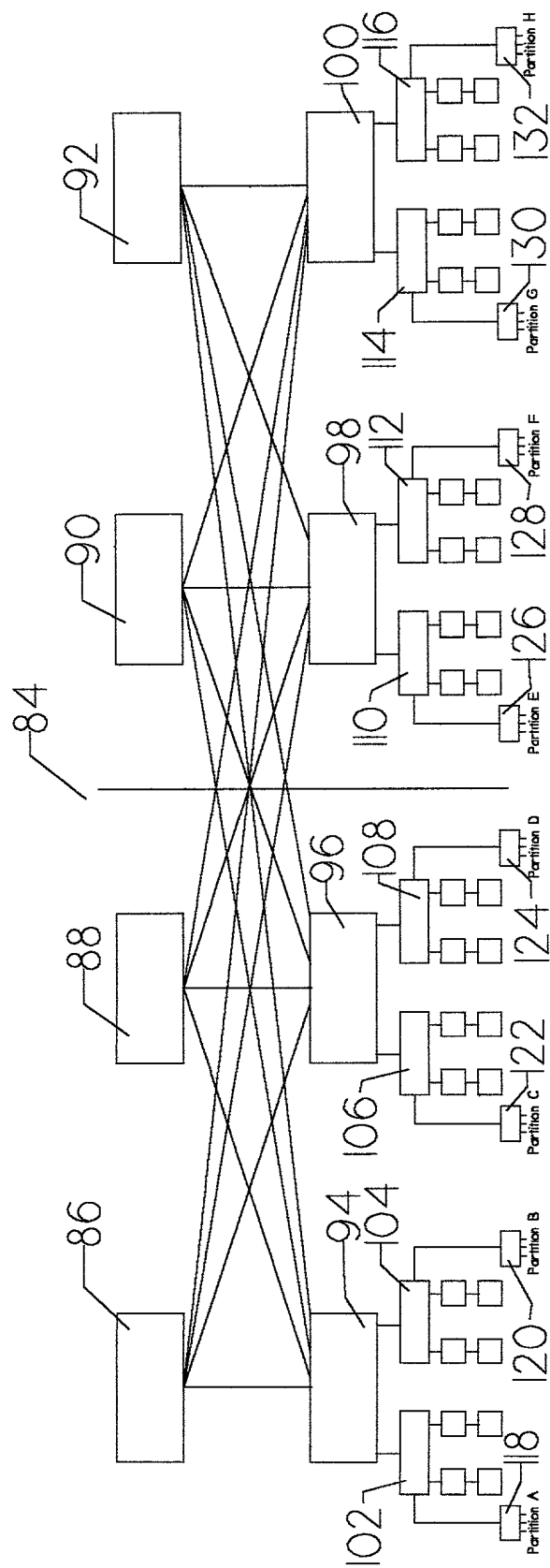


FIG. 9

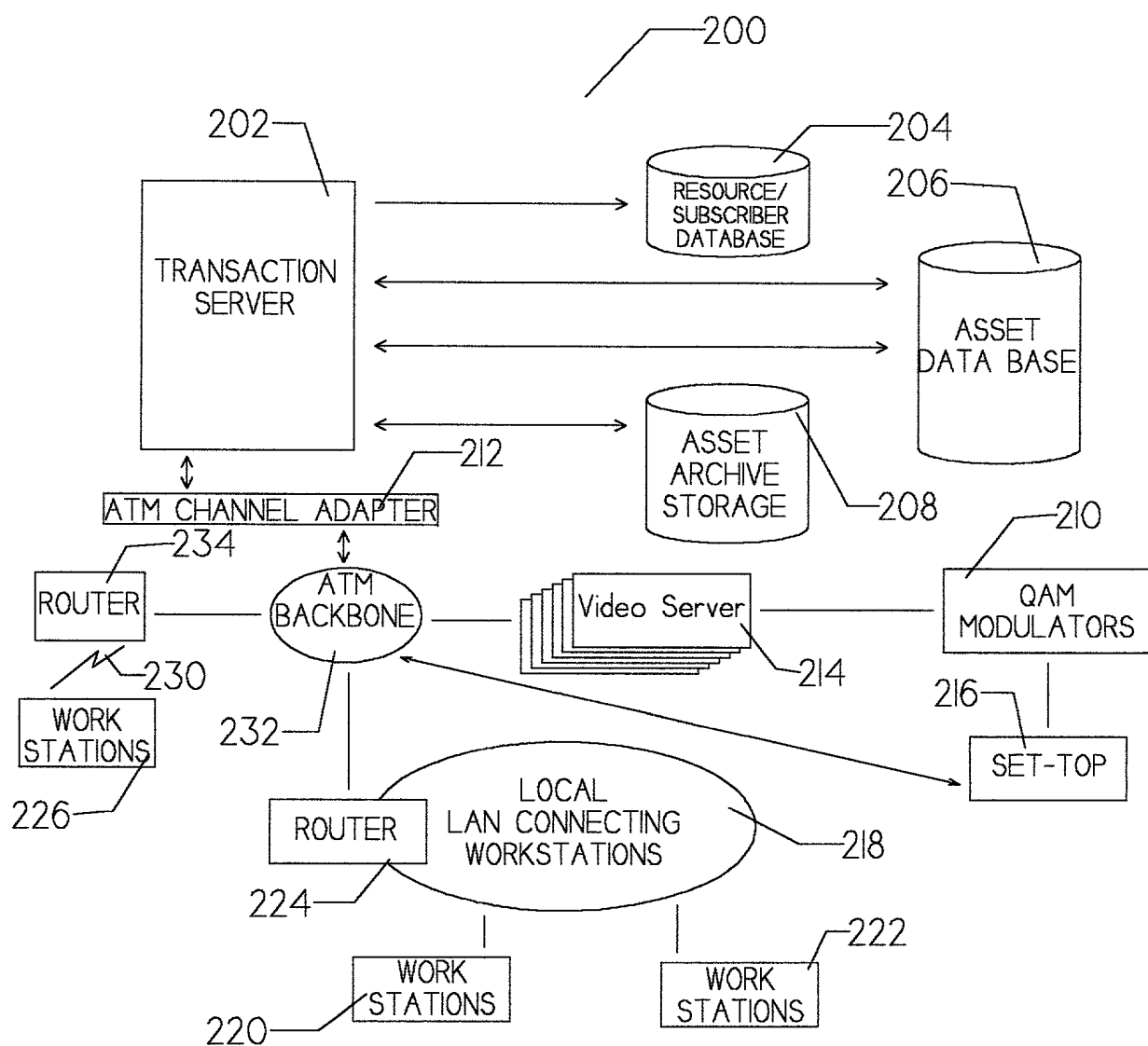


FIG. 10

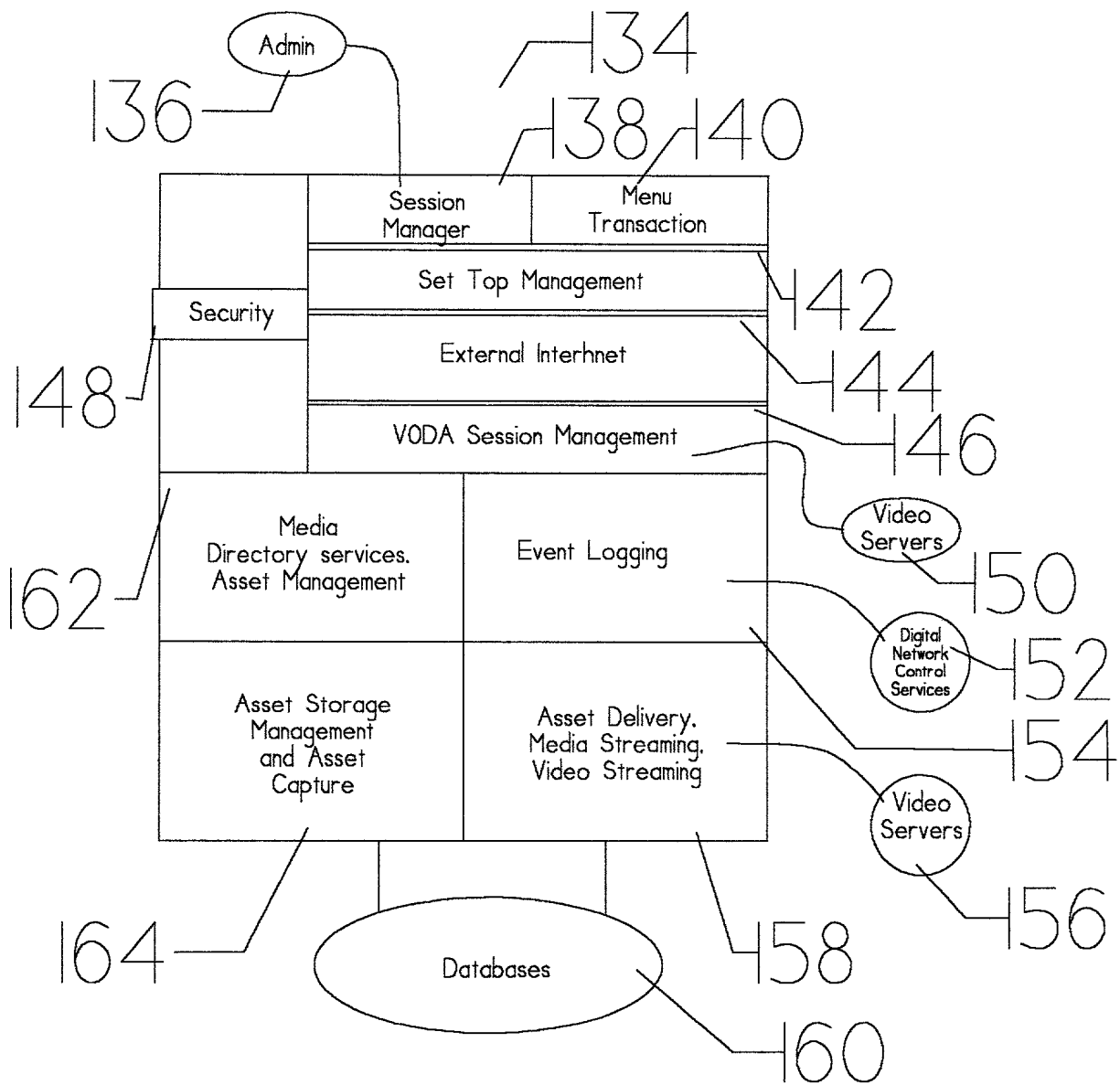


FIG. II

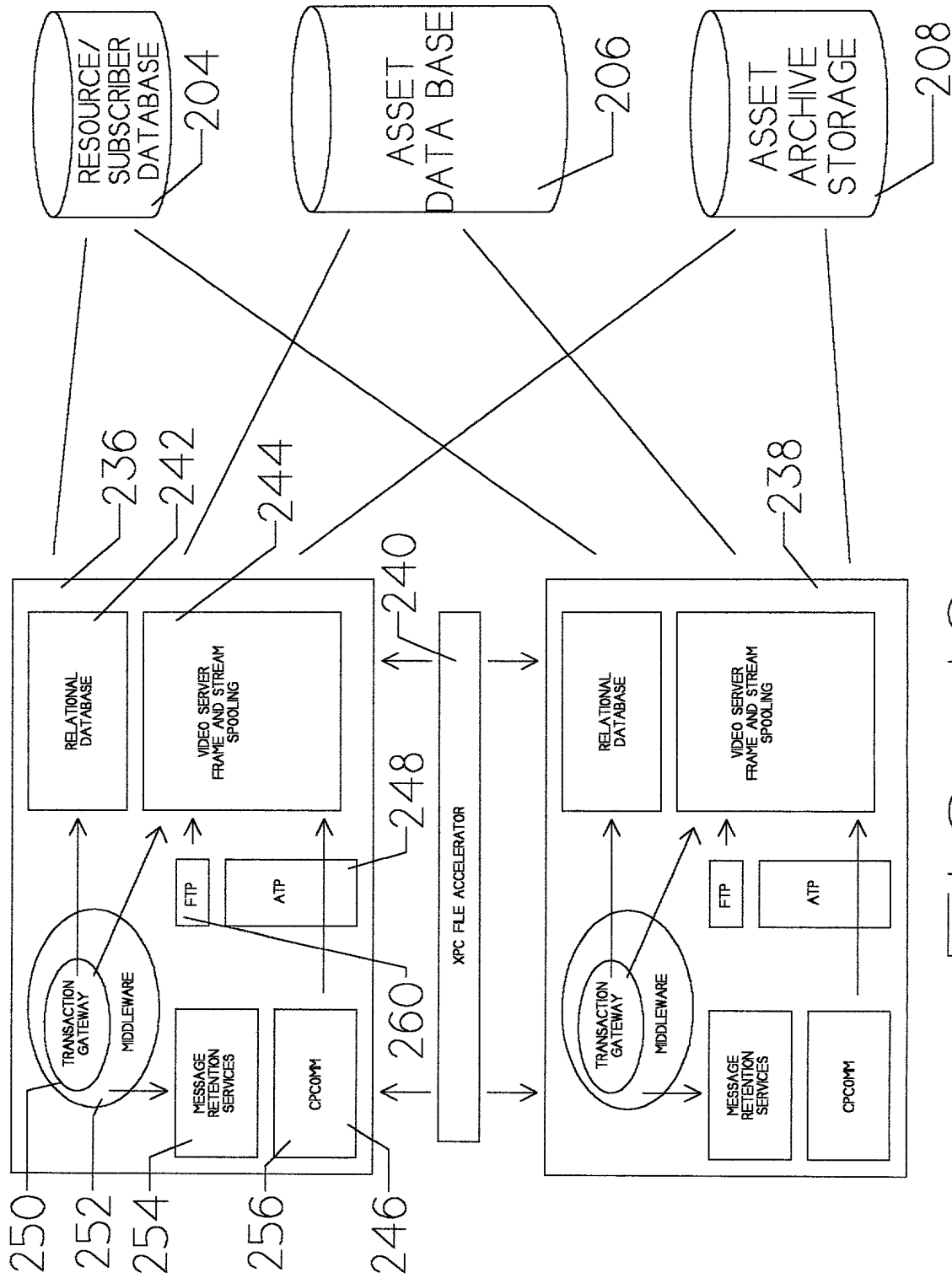


FIG. 12



**COMBINED DECLARATION/POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled VIDEO ON DEMAND TRANSACTION SERVER the specification of which (check one)

XX is attached hereto

\_\_\_\_\_ was filed on \_\_\_\_\_  
as U.S. Application  
Serial No. \_\_\_\_\_

\_\_\_\_\_ and was amended on (if  
applicable) \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefit(s) under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ YES	_____ NO
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ YES	_____ NO
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ YES	_____ NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
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_____ (Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon, I further declare that I understand the content of this declaration.

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Inventor's Signature *Ralph E. Sipple* Date 1999 APR 30

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Inventor's Signature *James R. McBreen* Date 4/30/99

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60443-30406-60

**1.56 Duty to disclose information material to patentability.**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

- (i) Opposing an argument of unpatentability relied on by the Office, or
- (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.